

Duke Energy Smart Saver™ in North and South Carolina Incentive Application Form and Instructions.

Important: Incomplete application forms or forms that cannot be read clearly will not be processed and will be returned for correction.

Please review before submitting an application:

1. Customer must be a North or South Carolina Duke Energy customer and live in a single family home. (Condominiums, town homes, manufactured homes, duplexes and mobile homes)
2. New system must be installed on or after June 1, 2009.
3. Complete all parts of the application that are applicable. Note the following required information, which is often overlooked:
 - a. Customer information including name and service address as shown on Duke Energy bill.
 - b. HVAC model numbers. If more than one system serves a home, use separate forms and note the number of application pages in the box provided.
 - c. Air Conditioning and Refrigeration Institute (ARI) certification number.
 - d. HVAC dealer or builder signature verifying all information on the application is accurate.
4. If available, include the Duke Energy customer account number to expedite incentive payments.
5. If the Duke Energy customer rents the home where the new HVAC system is installed, the customer rebate can be paid to the owner of the home. If this rental situation applies, please check this box on the application form and attach the owner's name, address and other payment information on a separate piece of paper.
6. Attach the required ARI certification indicating the SEER efficiency level of the installed heat pump or air conditioner. See www.ahridirectory.org.
7. HVAC dealer, dealer sales representative or builder must fill out the Participating Heating Dealer and Builder Sign-up Form prior to or in conjunction with their first incentive application. This form also includes a W-9 form and signature.
8. This application form is for the Smart Saver™ rebate only and will not establish a new home on Duke Energy Carolina's ENERGY STAR® electric rate. If this is a new home that has been ENERGY STAR-certified and you would like to apply for the special ENERGY STAR rate, call 1-800-777-9898.
9. If the home is an existing home and the old HVAC system was natural gas or the home is a new home where natural gas is available, to be eligible for incentives, a new heat pump must be installed as part of a dual fuel system that uses natural gas as the supplemental heat source.
10. Homes in Greenwood, SC area that are still on the frozen Greenwood, SC rates are not eligible for Smart Saver rebates. See more details via the website noted below on the program FAQ webpage.
11. **Fax incentive applications and attachments to: 1-866-908-4921**

Or Mail to: Duke Energy Smart Saver
431 Charmany Dr.
Madison, WI 53719

Please allow three to four weeks for incentive checks to be mailed.

For program updates, current forms, frequently asked questions, see:

North Carolina: <http://www.duke-energy.com/north-carolina/savings/offers.asp>.

South Carolina: <http://www.duke-energy.com/south-carolina/savings/offers.asp>.

Look for the link to Smart Saver™. For questions not addressed on the Web site, call 1-866-785-6209.

Note: Duke Energy reserves the right to change the Smart Saver™ program requirements and incentives at any time.



Carolina Residential Smart \$aver™ Incentive Application

Fax toll free: 866-908-4921 or **Mail to: Duke Energy Smart Saver, 431 Charmany Dr., Madison, WI 53719**

For Duke Energy customers only. Please print clearly as this form is scanned. For questions, call 1-866-785-6209

If this form is incomplete or fails the program requirements, notification will be sent via fax, e-mail or mailed letter.

Dealer or Builder fax number

Dealer or Builder e-mail address

Duke Energy account number at this address*

*You can find the Duke Energy account number on the customer's electric bill. If known, this will expedite incentive processing.

HVAC dealer

HVAC dealer contact name

HVAC dealer phone

Builder name (if this is a new home)

Builder contact name

Builder phone

Customer last name for this Duke Energy Account

Customer first name

Customer phone

Address where new HVAC is installed

City

State

Zip

Rental? Check box if home is **rented** by above customer and customer incentive should be paid to owner. (Attach owner pay information)

HVAC Dealer & Builder Incentives

Note: Incentive amounts and availability are subject to change.

Prior to receiving an incentive, a Participating Dealer/Builder Sign-up Form must be on record with Duke Energy.

Dealer incentive is payable to the HVAC dealership or the sales representative. Dealer or Builder incentive is sent to the address below.

The individual's or company's name on the pay line below must be the same name found on the Participating Dealer/Builder Sign-up Form.

If this application is for a new home, one of these boxes must be checked:

Builder's rebate paid to: **Builder** or **Customer**

If customer is to receive Builder's rebate, Builder must initial on line above.

Pay (Dealer or Builder incentive to) (Please print name)

Address (Mail Dealer or Builder incentive to)

City

State

Zip

Number of application forms for this job ____ Please use a separate form for each new system (inside/outside combination).

HVAC type(s): Air Conditioner Heat Pump Geo HP closed loop Geo HP open loop

HVAC Equipment Information

Please write model numbers as they appear in the ARI listings and attach certification sheet.

Outside HP or AC model #:

Outside brand

Furnace or air handler model #

Inside HP or AC coil model #:

Coil brand

Furnace brand

Installation date (Mo, Day, Yr)

If new system is a heat pump, please check backup fuel: HP & Electric HP & Nat.Gas HP & LPGas HP & Oil

Please attach ARI certificate

SEER (if geo, EER)

HSPF (HP)

Size (tons)

Efficiency ratings noted above depend on the exact combination of components to achieve the rated ARI SEER, EER or HSPF.

Check **both** to confirm: System includes all components to achieve ARI efficiency rating including... ECM indoor fan (**Required**)

Multiple system home? If home has other HVAC systems, please list the sizes in tons of the other systems. . . .

Note: If this is an existing home and the old HVAC system was natural gas or this is a new home where natural gas is available, to be eligible for incentives, the new heat pump **must be installed as part of a dual fuel system** that uses natural gas as the supplemental heat source.

ENERGY STAR® New Home?

Please check here if this is a new home and will be DOE certified as an ENERGY STAR home.

Important: This application form is for the Smart Saver rebate only and **will not establish this home on the Energy Star electric rate.**

If this home has been ENERGY STAR-certified and you would like to apply for Duke Energy's Energy Star rate, call 1-800-777-9898.

I have read and hereby agree to the Program Requirements as stated on the Participating Heating Dealer and Builder Sign-up Form on file with Duke Energy. I hereby certify that the above information is true and accurate to the best of my knowledge.

HVAC Dealer or Builder Signature

Date

Form revised 6/09